


**krungsri**  
Securities

A member of MUFG, a global financial group

# APPLICATION FOR SECURITIES TRANSFER

DATE.....

Effective date.....

Name.....

I.D. Card/ Passport No.....

☐ Securities Withdrawal

☐ Issue a share certificate in my name

☐ Convert to Common stock

☐ Securities Transfer

☐ Securities Transfer through TSD system

To Broker's Name..... Customer code.....

Name..... I.D.Card/Passport No.....

transfer to ( ) Cash Collateral ( ) Cash Balance ( ) Credit Balance

\*\*In case of transfer to Credit B/L Please specified for ( ) Pledge ( ) Cover short

☐ Securities Transfer for a Tender Offer

To Broker's Name..... A/C No.....

☐ Convert Securities status from Foreign board to Local board

☐ Convert Securities status from Local board/NVDR to Foreign board

☐ Adjust Cost

\*\*\*In case of withdrawal / transfer to other person(s), please specify relationship.....

Reasons for share transfer / withdrawal.....

Important: In case of withdrawal / transfer to other person(s) please provide true information, true &amp; correct

Relationship and always provide reasons for transfer

No.	Securities	Request to transfer	Adjust Cost	No.	Securities	Request to transfer	Adjust Cost
1				7			
2				8			
3				9			
4				10			
5				11			
6				12			

I hereby accept that if the transfer of securities under this request does not qualify for tax exemption (for example, over-the-counter transactions), I may be subject to tax liabilities under the Revenue Code or any other rules or regulations of the Revenue Department.

Furthermore, I allow the Company to disclose information about the transfer of securities under this request as required by law or by request from the Revenue Department or any other competent authorities.

Withdrawals, transfers or conversions of securities must be in accordance with the terms and conditions stipulated by the Company. In the event that the Company suffers any losses or damages, I will take full responsibility and wholly compensate Krungsri Securities PCL for any such losses or damages.

(signed)...X..... Transferor  
(.....)

(signed)...X.....Transferee  
(.....)

Please attach copies of 1. The Spouse's ID Card and Marriage Certificate (incase of transfer to spouse)  
2. House Registration Certificate + ID Card Recipient (incase of transfer to child)

Signed.....(Marketing Officer)

Signed.....(Supervisor)